LIVED			TILED
\ "		DISTRICT COURT ISTRICT OF TEXAS VISION	JUN - 7 2018  CLERK US DISTRICT CLERK WESTERMONTHUCTOF TEXAS BY  DEPUTY
WES	· )		
ŘICKY R. FRANKLIN	)	Civil Action No: 1:1	18-CV-236-LY
Plaintiff,	)		
v.	)		
UPLAND SOFTWARE, INC	) ., ) )		

PLAINTIFF'S BRIEF AND MEMORANDUM OF LAW IN SUPPORT OF HIS MOTION FOR SUMMARY JUDGMENT

## TABLE OF CONTENTS

TABLE OF CONTENTS	2
TABLE OF AUTHORITIES	2-4
RELEVANT BACKGROUND	5
INTRODUCTION	5
ABOUT THE TELEPHONE CONSUMER PROTECTION ACT	5-7
STATEMENT OF UNDISPUTED FACTS	7-8
ARGUMENT	9
A. Legal Standard	9-10
B. Consent	10-11
C. Defendants System is an Automated System	11-12
i. The Hobb's Actii. The Defendants System Qualifies As An Automated System	
CONCLUSION	12-13
CERTIFICATE OF SERVICE	14
TABLE OF AUTHORITIES	
Cases	
Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 255, 106 S.Ct. 2505 91 L.Ed.2d 202 (1986)	9
Bell Atl. Corp. v. Twombly	

550 U.S. 544, 570 (2007)1	0
Osorio v. State Farm Bank, FSB 746 F.3d 1242 (11 <sup>th</sup> Cir. 2014)1	1
Celotex Corp. v. Catrett 477 U.S. 317, 322 (1986)	9
Gomez v. Campbell-Ewald Co 768 F.3d 871, 874, 882 (9th Cir. 2014)	7
James v. State Farm Mut. Auto. Ins. Co. 743 F.3d 65, 68 (5th Cir. 2014)	.9
Matsushita Elec. Indus. Co. v. Zenith Radio Corp 475 U.S. 574, 587 (1986)1	0
Ranwick v. Texas Gila, LLC 37 F.Supp.3d 1053, 1057	. 5
Satterfield v. Simon & Schuster, Inc 569 F.3d 946, 954 (9th Cir. 2009)	8
Scott v. Harris, 550 U.S. 372, 380 (2007)	9
Satterfield v. Simon & Schuster, Inc 569 F.3d 946, 954 (9th Cir. 2009)	8
Statutes	
47 C.F.R § 64.1200(f)(2)	5
47 U.S.C. § 227 et seq	5
47 U.S.C. § 402 (Hobbs Act)	.6

Official Georgia Code Annotated O.G.C.G.A 46-5-27(i)5,6
Rules and Regulations
2003 TCPA Order, 18 FCC Rcd at 14115, para. 165
Federal Rules of Civil Procedure Rule 56 et seq
Hobbs Act, 28 U.S.C. § 2342
Rules and Regulations Implementing the TCPA of 1991 10 FCC Rcd. 12391, 12397 ("The 1995 Ruling.")5
Rules and Regulations Implementing the Telephone Consumer Protection Act of 1991 CG Docket No. 02-278, Report and Order, 23 FCC Rcd 9779, (2008)11
Rules & Regulations Implementing the Tel. Consumer Prot. Act of 1991, 27 F.C.C. Rcd 15391, 15932 n.5 (2012)
Rules and Regulations Implementing the Telephone Consumer Protection Act of 1991, CG Docket No. 02-278 Declaratory Ruling and Order, FCC Rcd 7971 7972,(2015)
The Telephone Consumer Protection Act of 1991 Pub. L. No. 102-243, 105 Stat. 2394 (1991) codified at 47 U.S.C. § 227TCPA)
Other
http://www.fcc.gov/document/dish-network-et-al-petition-declaratory-ruling-re-tcpa-rules

#### I. RELEVANT BACKGROUND

On August 08, 2017 Plaintiff begin received unsolicited telemarketing messages from the Defendant. Plaintiff received the messages up until March 03, 2018 in excess of twenty-five times. On March 19<sup>th</sup> 2018, Plaintiff filed his complaint (Dkt #1) for violations of TCPA 47 U.S.C. section 227 et seq. and O.C.G.A. 46-5-27(i). More than thirty days after the Defendants answer was due, On May 21, 2018, Plaintiff received through mail the Defendants answer and counter claim.

#### II. INTRODUCTION

NOW COMES Plaintiff, Ricky R. Franklin, Pro Se, respectfully move this

Court for an Order granting summary judgment and related with respect to Count I

and Count II of the Complaint in this matter for the reasons stated in the attached
supporting Brief. This case is suitable for a decision, and Plaintiff submits this

Memorandum of Law in Support of its Motion for Summary Judgment.

Defendant, UPLAND SOFTWARE, INC., (hereinafter, USI) violated the Telephone Consumer Protection Act ("TCPA"), 47 U.S.C. § 227 et seq and O.G.C.G.A 46-5-27(i) texting the Plaintiff on his cellphone. Further, Defendant USI texted the Plaintiff using a automated system at least twenty-five (25) times in violation of both statutes. (Appendix E, Documented Calls)

As explained below, summary judgment should be granted in favor of Plaintiff

# III. THE TELEPHONE CONSUMER PROTECTION ACT OF 1991 (TCPA) 47 U.S.C §227 ET SEQ

The TCPA regulates, inter alia, the use of automated telephone equipment, or "predictive-dialers", defined as equipment which "has the capacity... (a) to store or produce telephone numbers to be called, using a random or sequential number generator; and (b) to dial such numbers. 47 U.S.C. § 227(a)(1). Specifically, the plain language of section 227(b)(1)(A)(iii) prohibits the use of auto-dialers to make any call to a wireless number in the absence of an emergency or the prior express consent of the called party. The TCPA is a strict liability statute that awards \$500 per each violation and up to \$1,500 per willful/knowing violation. 47 U.S.C. § 227(b)(3)(B)(C).

The three elements of a Telephone Consumer Protection Act (TCPA), 47 U.S.C. § 227, claim are (1) the defendant called a cellular telephone number, (2) using an automatic telephone dialing system, (3) without the recipient's prior express consent. 47 U.S.C. § 227(b)(1). The Defendants in this case cannot point to any relevant facts of the record, which would dispute Plaintiffs Statement Of Undisputed Material Facts or any element that dispute TCPA violations.

According to findings by the Federal Communications Commission (FCC), the

agency Congress vested with authority to issue regulations implementing the TCPA, such calls are prohibited because, as Congress found, automated or prerecorded telephone calls are a greater nuisance and invasion of privacy than live solicitation calls, and such calls can be costly and inconvenient. 47 U.S.C. § 402 (Hobbs Act)

The FCC has also ruled that a company can be held vicariously liable for text messages placed by a "third party" and are equally liable just as if the "third party" placed the call themselves. See...http://www.fcc.gov/document/dish-network-et-al-petition-declaratory-ruling-re-tcpa-rules Other Circuits have also ruled and held that the defendant marketing consultant could be liable under the Telephone Consumer Protection Act (TCPA) for unsolicited text messages that it arranged for a separate third-party to send on behalf of a client, the U.S. Navy. See... Gomez v. Campbell-Ewald Co., 768 F.3d 871, 874, 882 (9th Cir. 2014). The TCPA's consent requirement applies to short message service text messages ("SMS" or "text message") in addition to voice calls. 2003 TCPA Order, 18 FCC Rcd at 14115, para. 165; see also Satterfield v. Simon & Schuster, Inc., 569 F.3d 946, 954 (9th Cir. 2009)

The TCPA is a strict liability statute that awards \$500 per each violation. 47 U.S.C. § 227(b)(3)(B)(C). Additionally, O.GC.G.A 46-5-27(i) provides for statutory damages of \$2000.00 for each of the calls made per violation.

As explained below, summary judgment should be granted in favor of Plaintiff

#### IV. STATEMENT OF UNDISPUTED FACTS

Plaintiff never consented to, requested, or otherwise desired or permitted, calls from Defendant Upland Software, Inc., for a loan or any other purpose.

(Declaration Ricky R. Franklin ¶ 4, Exhibit B, Appendix A, ¶ 1, SUMF 1)

On 08 Aug 2017, @ 1536 the Plaintiff was sent the following message:

Notice Benita Your loan of \$5,000 is ready! Tap Here: <a href="https://goo.gl/PJMbes">https://goo.gl/PJMbes</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

The Defendant USI continued text messaging the Plaintiff 25 times with similar messages up until March 2018. (Appendix A, ¶ 1, SUMF 2) (Franklin Declaration #5) (Exhibit F)

The Plaintiff is an unmarried man, who has never met, talked to, or otherwise had any communications with a person listed in the counter-claim by the name of "Benita Ross". (Appendix A, page 4, SUMF 2) (Franklin Declaration #5)

The text messages are an invasion of privacy, and harassment to Plaintiff.

Plaintiff suffered harm and damages in the form of emotional distress such as anger, and resentment each time he received a call from Defendant USI. (App A, ¶ 4- SUMF 9) (Dec ¶ 8)

On Defendant USI own website, they admit that its mobile marketing A2P is an automated system used to send text messages. (SUMF ¶ 6, Exhibit D)

### V. ARGUMENT

#### A. Legal Standard

Summary judgment is appropriate where "there is no genuine issue as to any material fact and the movant is entitled to judgment as a matter of law" Fed. R. Civ. P. 56(a). The party seeking summary judgment bears "the initial burden to show the district court, by reference to materials on file, that there are no genuine issues of material fact that should be decided at trial" *Scott v. Harris*, 550 U.S. 372, 380 (2007); Celotex Corp. v. Catrett, 477 U.S. 317, 322 (1986).

Once the moving party has satisfied its responsibility, the burden shifts to the nonmovant to show the existence of a genuine issue of material fact. *Id.* If the nonmoving party fails to make a sufficient showing on an essential element of its case with respect to which they have the burden of proof, the moving party is entitled to summary judgment *Id.* 

Only disputes involving material facts are relevant. *Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 247 (1986).* "The mere existence of some factual dispute will not defeat summary judgment unless that factual dispute is material to an issue affecting the outcome of the case. see... *James v. State Farm Mut. Auto. Ins. Co. 743 F.3d 65, 68 (5th Cir. 2014).* 

In order to demonstrate a genuine issue of material fact, the non-moving party "must do more than simply show that there is some metaphysical doubt as to the material facts... Where the record taken as a whole could not lead a rational trier of fact to find for the nonmoving party, there is no "genuine issue for trial" *Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 587 (1986).*Moreover, if a party fails to plead sufficient facts to establish an element of its claim, no genuine issue material fact exists. *Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007)* If the nonmoving party is unable to provide evidence substantial enough for a factfinder to rely upon at trial which shows that a genuine issue exists as to a material fact, the motion must be granted. Fed. R. Civ. P. 56(e); Anderson, 477 U.S. at 249-250.

### B. Plaintiff Never Provide Consent To Be Contacted On His Cellphone

Plaintiff never consented to, requested, or otherwise desired or permitted, calls from Defendant Upland Software, Inc., for a loan or any other purpose.

(Declaration Ricky R. Franklin ¶ 4, Exhibit B, Appendix A, ¶ 1, SUMF 1) The FCC and the 11<sup>th</sup> Circuit has ruled that consent can only come from the person that owns the phone. Id at 10 See also... *Osorio v. State Farm Bank, FSB; ' 746 F.3d* 1242 (11<sup>th</sup> Cir. 2014) A Company should only get consent from that person.

The FCC has consistently ruled for the last 15 years, that consent is "deemed only if given by the consumer to the business during the transaction that resulted in a debt being owed. Rules and Regulations Implementing the Telephone Consumer Protection Act of 1991, CG Docket No. 02-278, Report and Order, 23 FCC Rcd 9779, para 10 (2008) Further, that if a matter of consent is at issue, the Defendant bears the burden of proof of proving prior express written consent. Id.

The Defendant cannot show that it got consent from the Plaintiff by any means.

Therefore summary judgment should be granted in the Plaintiffs favor.

### C. Defendant USI's System Is Totally Automated

Congress directed the Federal Communications Commission ("FCC") to "prescribe regulations to implement the requirements of" the TCPA including by rule or order. 47 U.S.C. §227(b)(2). In accordance, the FCC has issued a series of rules and regulations implementing the TCPA. *See, e.g.*, 7 FCC Rcd. 8752 (1992); 18 FCC Rcd. 14014 (2003); 23 FCC Rcd. 559 (2008); 27 FCC Rcd. 1830 (2012); 27 FCC Rcd 15391 (2012); 29 FCC Rcd. 3432 (2014); 30 FCC Rcd. In its 2015

Declaratory Ruling and Order, *inter alia*, the FCC reaffirmed "previous statements that dialing equipment generally has the capacity to store or produce, and dial random or sequential numbers (and thus meets the TCPA's definition of "autodialer") even if it is not presently used for that purpose, including when the caller is calling a set list of consumers." 30 FCC Rcd. at 7971-72. The FCC also reiterated that predictive dialers satisfy the TCPA's definition of autodialer. *Id.* at 7972. In addition, the FCC found "callers cannot avoid obtaining consent by dividing ownership of pieces of dialing equipment that work in concert among multiple entities." *Id.* 

#### i. The Hobb's Act

Under the Hobbs Act, 28 U.S.C. § 2342 *et seq.*, federal courts lack jurisdiction over challenges to FCC orders and regulations 'other than on appeals arising from agency proceedings. The Hobbs Act provides the federal courts of appeals with 'exclusive jurisdiction to enjoin, set aside, suspend (in whole or in part), or to determine the validity 'of FCC orders. 28 U.S.C. § 2342(1). Thus absent a direct appeal to review the 2015 FCC Order's interpretation of an autodialer, we are bound to follow it."); *Mais*, 768 F.3d at 1119 ("district court exceeded its jurisdiction by declaring the 2008 FCC Ruling to be inconsistent with the TCPA"); *Ranwick v. Texas Gila, LLC, 37 F.Supp.3d 1053, 1057* 

ii. The Defendants System Qualifies As An automated System.

The TCPA broadly prohibits calls made using "any automatic telephone dialing system" to "any telephone number assigned to a . . . cellular telephone service" without limiting that restriction to telemarketing calls. Citing...Rules and Regulations Implementing the Telephone Consumer Protection Act of 1991, CG Docket No. 02-278, Declaratory Ruling and Order, para 123, FCC Rcd (2015)

The Defendants website boasts proudly about its automated messaging system and how they can send thousands if not millions of messages from its automated messaging campaigns. (Exhibit D, page #3) Furthermore, on June 18, 2015, the FCC issued a Press Release stating that "[e]quipment used to send Internet-to-phone text messages is an autodialer," which is sufficient by itself to find that the Defendant's equipment is an ATDS. See…https://www.fcc.gov/document/fcc-strengthens-consumer-protections-against-unwanted-calls-and-texts.

The fact that Defendant may seek to claim it is not responsible for the sending of text messages, the FCC has been clear that individual pieces of equipment and software separately owned does not change this analysis of auto-dialing equipment. Parties cannot circumvent the TCPA by dividing ownership of dialing equipment. See...Rules and Regulations Implementing the Telephone Consumer Protection Act of 1991, CG Docket No. 02-278, Declaratory Ruling and Order, FCC Rcd 7971,

7972, para 23, 11 (2015). We also find that callers cannot avoid obtaining consent by dividing ownership of pieces of dialing equipment that work in concert among multiple entities. *Id at 10* 

The Defendants system qualifies as an automated system and is therefore subject to violations of the TCPA and therefore Plaintiffs motion for Summary Judgment should be granted.

#### VI. CONCLUSION

Plaintiff has overwhelmingly and conclusively established that: (1) At any time a system has the capacity to act as an auto-dialer, it is covered by the TCPA; (2) Defendants system qualifies and an auto-dialer; (3) Valid written consent was never obtained from Plaintiff; (4) Plaintiff is entitled to summary judgment as a matter of law. The Defendant has violated the Telephone Consumer Protection Act ("TCPA"), 47 U.S.C. § 227 et seq and O.GC.G.A 46-5-27(i) texting the Plaintiff on his cellphone. At a minimum, the Plaintiff is entitled to

For the reasons stated above, Plaintiffs Motion for Summary Judgment should be granted and awarded \$500.00 for each of the 25 calls/text for the TCPA, and \$2,000.00 per text for violations of O.GC.G.A 46-5-27(i).

Respectfully submitted, June 2<sup>nd</sup> 2018

Ricky R. Franklin

708 Brambling Way Stockbridge, GA 30281 (678-650-3733) rrfrank12@hotmail.com

### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing was sent via mail on June 2<sup>nd</sup> 2018 to Defendant's Counsel listed below:

#### CLEVELAND TERRAZAS PLLC

Matthew Murrell

Carlos Soltero

4611 Bee Cave Road, Suite 306B Austin, Texas 78746 T: (512) 689-8698

### ATTORNEYS FOR DEFENDANT UPLAND SOFTWARE, INC.

Ricky R. Franklin

708 Brambling Way Stockbridge, GA 30281

(678-650-3733)

rrfrank12@hotmail.com

### THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF TEXAS AUSTIN DIVISION

RICKY R. FRANKLIN Plaintiff

v.

UPLAND SOFTWARE, INC.,
Defendant

### (DISTRICT JUDGE) THE HONORABLE LEE YEAKEL

### SHORT APPENDIX OF PLAINTIFF RICKY R. FRANKLIN

June 2<sup>nd</sup>, 2018

Ricky R. Franklin

708 Brambling Way Stockbridge, GA 30281 rrfrank12@hotmail.com

# INDEX

Vol.	Tab	Date	Title
I	A	06/02/2018	Undisputed Facts
I	В	06/02/2018	Declaration Franklin
I	С	06/01/2018	Short Code Owner
I	. D	06/02/2018	Automated System Used By Defendant
I	Е	06/02/2018	Documented Automated Messages
I	F	06/02/2018	Proof of ownership of phone

# EXHIBIT A

### THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF TEXAS AUSTIN DIVISION

RICKY R. FRANKLIN	) Civil Action No: 1:18-CV-236-LY
Disintiff	)
Plaintiff,	) )
V.	
UPLAND SOFTWARE, INC.,	)
Defendant	) )

# PLAINTIFF'S FED. R. CIV. P. 56 AND LOCAL RULE 56 ET SEQ STATEMENT OF UNDISPUTED MATERIAL FACTS IN SUPPORT OF HIS MOTION FOR SUMMARY JUDGMENT

Plaintiff, Pro Se, by and thru himself, and pursuant to Fed. R. Civ. P. 56 and Local Rules 56 et seq, respectfully submits the following statement of material facts as to which there is no genuine issue and that entitle Plaintiff to a judgment as a matter of law:

- 1. Plaintiff never consented to, requested, or otherwise desired or permitted, calls/texts from Defendant Upland Software, Inc., for a loan or any other purpose. Declaration Ricky R. Franklin ¶ 4 (Exhibit B).
- 2. On 08 Aug 2017, @ 1536 the Plaintiff was sent the following message:

Notice Benita Your loan of \$5,000 is ready! Tap Here: https://goo.gl/PJMbes Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply

The Defendant USI continued text messaging the Plaintiff 25 times with similar

messages up until March 2018. (Franklin Declaration ¶ 5, Exhibit B, Exhibit F)

3. The Plaintiff is an unmarried man, who has never met, talked to, or otherwise

had any communications with a person listed in the counter-claim by the name of

"Benita Ross". (Franklin Decl ¶ 6)

4. The text messages are an invasion of privacy, and harassment to Plaintiff.

Plaintiff suffered harm and damages in the form of emotional distress such as

anger, and resentment each time he received a call from Defendant USI.

Dec Franklin ¶ 7

Plaintiff suffered actual damages by receiving these unwanted text 5.

messages which caused his phone tied up and not able to be used for other

purposes. Franklin Dec ¶ 8

6. Defendant USI admits that its mobile marketing A2P is an automated system

used to send text messages. (SUMF ¶ 6, Exhibit D)

Date: June 2<sup>nd</sup>, 2018

Respectfully submitted

Ricky R. Franklin 708 Brambling Way

Stockbridge, GA 30281

678-650-3733

rrfrank12@hotmail.com

2

### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing was sent via mail on June 2<sup>nd</sup> 2018 to Defendant's Counsel listed below:

#### CLEVELAND TERRAZAS PLLC

Matthew Murrell

Carlos Soltero

4611 Bee Cave Road, Suite 306B Austin, Texas 78746 T: (512) 689-8698

ATTORNEYS FOR DEFENDANT UPLAND SOFTWARE, INC.

Ricky R. Franklin

708 Brambling Way

Stockbridge, GA 30281

(678-650-3733)

M2

rrfrank12@hotmail.com

# EXHIBIT B

### THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF TEXAS AUSTIN DIVISION

	)
RICKY R. FRANKLIN	) Civil Action No: 1:18-CV-236-LY
Plaintiff,	) )
<b>v.</b>	) )
UPLAND SOFTWARE, INC.,	)
Defendant	· )

# DECLARATION OF RICKY R. FRANKLIN IN SUPPORT OF HIS MOTION FOR SUMMARY JUDGMENT

I declare under penalty of perjury pursuant to 28 U.S.C. section 1746 as follows:

- 1. I am over 18 years of age and competent to make this declaration.
- 2. I am the Plaintiff in the above-styled case.
- 3. Plaintiff is the sole owner and user of phone number 678-235-1290, where the text messages took place on the Plaintiffs cellphone.
- 4. All of the messages used in Exhibit E are from the Plaintiffs personal cellphone capture by picture.
- 5. Plaintiff never consented to, requested, or otherwise desired or permitted, calls from Defendant Upland Software, Inc., for a loan or any other purpose.

6. On 08 Aug 2017, @ 1536 the Plaintiff was sent the following message:

Notice Benita Your loan of \$5,000 is ready! Tap Here:

https://goo.gl/PJMbes Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply

The Defendant USI continued text messaging the Plaintiff 25 times with similar messages up until March 2018. (Exhibit A ¶ 2, Exhibit F)

- 7. The Plaintiff is an unmarried man, who has never met, talked to, or otherwise had any communications with a person listed in the counter-claim by the name of "Benita Ross". (Exhibit A, SUMF ¶ 3)
- 8. Plaintiff suffered actual damages in the form of embarrassment, humiliation and anger as a result of the calls. SUMF ¶ 5
- 9. The calls were an invasion of privacy, and harassment to Plaintiff.
  Plaintiff suffered harm and damages in the form of emotional distress such as anger, and resentment each time he received a text message from
  Defendant Upland Software, Inc. SUMF ¶ 4

I declare under penalty of perjury that the foregoing is true and correct.

By: 22

Ricky R. Franklin

Date: \_\_June 2<sup>nd</sup>,2018\_

# EXHIBIT C



## Upland Software SMS Short Code

upland

62701 Short Code

Do you own this number?

Mobile & Web Services (https://usshortcodedirectory.com/sms-short-code-industry/mobile-web-services/)

Write Review

Report Spam (http://www.spamresponse.com

/report-spam)

Last Updated: November 20, 2017

#### **Short Code Owner Information**

Business/Organization:

Upland Software

Address:

401 Congress Ave #1850

City:

Austin

State:

TX

Zip Code:

78701

Website:

https://uplandsoftware.com (https://uplandsoftware.com)

**Email Address:** 

help@uplandsoftware.com

**Phone Number:** 

1-833-875-2631

**Basic Short Code Information** 

**Short Code Number:** 

(https://usshortcodedirectory.com/)

**Short Code Length:** 

5-Digit Short Code (https://usshortcodedirectory.com/sms-

short-code-types/5-digit-short-code/)

**Short Code Activation Date:** 

04/03/2013

**Short Code Deactivation Date:** 

N/A

Short Code Type:

Dedicated Short Code (https://usshortcodedirectory.com

/sms-short-code-types/dedicated-short-code/)

Vanity Short Code Style:

Non-Vanity Short Code (https://usshortcodedirectory.com

/sms-short-code-types/non-vanity-short-code/)

Vanity Short Code Spelling:

N/A

Messaging Types:

SMS

#### Supported Wireless Carriers:

- Cincinnati Bell
- Leap Wireless
- United States Cellular Corp
- Verizon
- T-Mobile
- Alltel-Allied
- AT&T
- · Cellular South
- Wireless
- Metro PCS
- Virgin Mobile
- Boost Mobile
- Union Telephone
- nTelos
- TracFone (AT&T)
- West Central Wireless
- · Bluegrass Cellular
- Carolina West Wireless
- Rural Independent Network Alliance
- East Kentucky Network
- Illinois Valley Cellular
- Inland Cellular
- Nex Tech Communications
- · Pine Cellular
- DTC Wireless
- Boost-CDMA
- CellCom
- Immix
- ECIT
- Alaska Communications Systems (ACS)

- United Wireless
- GCI Communications
- Thumb Cellular
- Cross Wireless
- Chat Mobility
- · Northwest Missouri Cellular
- · Pioneer Cellular
- Panhandle Wireless
- · Element Mobile
- Golden State Cellular
- Viaero Wireless
- Plateau Wireless
- MTPCS
- Cellular One
- Cleartalk (Flat Wireless)
- Epic Touch
- Mosaic Telecom
- Mobi
- PCS
- Peoples Wireless
- Duet IP
- Chariton Valley Cellular
- SouthernLINC
- MobileNation/SI Wireless
- MTA Wireless/Matanuska
- Kenai SRT Communications
- MetroPCS/T-Mobile
- US Aio Wireless
- Sagebrush Cellular
- · Google Voice

### **Short Code Campaign Information**

2

Campaign Name:

US SHORI COO DIRECTORY (https://usshortcodedirectory.com/) Subscription

Campaign Type:

Campaign URL: Not Provided

Campaign Status:

Active

Campaign Terms & Conditions:

Not Provided

Campaign Support Phone Number:

Not Provided

Campaign Support Email Address:

Not Provided

Campaign Description:

62701 is a common short code (CSC), currently being used by Upland Software.

The dedicated short code 62701, is registered in the United States with the CSCA, and is being used by Upland Software. The number is a non-vanity short code.

Text "HELP" to 62701 for more information about this short code. Did you receive an SMS message from 62701, and you wish to stop receiving messages from Upland Software? You can unsubscribe from Upland Software SMS messages by texting "STOP" to 62701.

#### **Campaign Content:**

Not provided

Campaign Language:

English

Campaign Opt-in Keyword:

Not Provided

Opt-in Type:

Not Provided

Campaign Launch Date:

Not Provided

#### 1 Comment



Anonymous on November 30, 2017 at 11:51 pm

Stop

Reply (https://usshortcodedirectory.com/directory/short-code-62701/?replytocom=1757#respond)

#### Leave a Comment About This Short Code

Enter your comment here..

# EXHIBIT D



Upland » Digital Engagement » Mobile Messaging

212-537-5175

Search

Q

upland Mobile Messaging

**Enterprise Mobile Messaging and SMS** 

# The Platform Behind Today's Wost Effective Mobile Campaigns

Upland Mobile Messaging provides communications teams, marketers, and program · managers an enterprise mobile messaging platform for planning, executing, managing and analyzing complex mobile messaging campaigns.

Why Mobile Messaging

**WiHeart** 

Malegia Gessieros III New Secreticos

Enterprise Mobile Messaging that



## Drives Results



## **Enterprise-Grade Platform**

Send and receive text, pictures, and video on an easy-to-use platform that integrates seamlessly with your existing marketing ecosystem.



## Deep Mobile Expertise

Leverage our team of mobile strategists that have launched the industry's largest and most effective mobile messaging campaigns.





## **Automated Personalization**

Harness our extensive data and insights to automate personalized messages and drive deep engagement with consumers.

## Request a Demo

# Personalized Messaging Campaigns at Your Fingertips

Build your database and inspire your audience to take action with Upland Mobile Messaging automated messaging campaigns.

Take a Tour





# Enterprise Mobile Messaging Resources

View all »

**EBOOK** 

The 90-Day Journey: A stepby-step guide to successful text messaging campaigns

Your 90-day blueprint to launch effective and successful SMS text messaging campaigns

Read More »

**WEBINAR** 

Mobile Marketing 101

Learn how text messages can reach and engage consumers.

Read More »



#### **CASE STUDY**

# D

**CASE STUDY** 

#### Greenpeace

Mobile subscribers were 60% more likely to become monthly sustainers.

#### The Nature Conservancy

The Nature Conservancy grew its SMS list 800% with one simple tactic.

Read More »

Read More »

#### WEBINAR

# Forrester: The Growing Gap in Your Marketing Communications Mix

Any type of organization can benefit from mobile outreach.

Read More »

#### **BLOG**

# Add Mobile Fields to Your Web Forms for Fast List Growth

The Nature Conservancy's forms include a mobile field, contributing to mobile growth.

Read More »





Product Family	Customer Success	About Upland	Upland
Project & IT Management Workflow Automation	Customer Success Program Premier Success Plans	UplandOne Executive Team News	Headquarters Frost Bank Tower 401 Congress Avenue, Suite 1850 Austin, TX 78701-3788, USA
Digital Engagement	Upland Customer Success Stories	Investor Relations Careers	
		Acquisition Strategy	Search Uplandsoftware.com

© 2018 Upland Software, Inc. All Rights Reserved

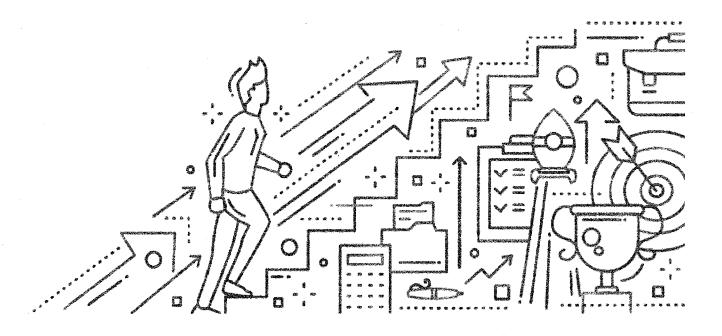
Privacy



# Maximize Your Software Investment with Upland Mobile Messaging Premier Success Plans

Upland Mobile Messaging Premier Success Plans eliminate the need for costly custom services and build a solid foundation for your success. Platinum is our top-tier plan, and it provides your business with the highest level of experience and value.

#### **Premier Success Plans**



**Mobile Messaging** 

**Upland Software** 

# EXHIBIT E



#### TEXT MESSAGES RECEIVED FROM UPLAND AT 678-235-XXXX

08 Aug 2017, @ 1536, Notice Benita Your loan of \$5,000 is ready! Tap Here: <a href="https://goo.gl/PJMbes">https://goo.gl/PJMbes</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

09 Sep 2017, @ 1507 Notice Your New loan of \$5,000 is ready! Tap Here: <a href="https://goo.gl/ctWzhX">https://goo.gl/ctWzhX</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

05 Oct 2017, @ 1049 Your New loan of \$5,000 is ready! Tap Here: <a href="https://lends.live/bettec2e4">https://lends.live/bettec2e4</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

17 Oct 2017, @ 1451Notice Your New loan of \$5,000 is ready! Tap Here: <a href="https://lends.live/bette1016">https://lends.live/bette1016</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

10 Octo 2017, @ 1027 Your New loan of \$5,000 is ready! Tap Here: <a href="https:/lends.live/brigh1026">https:/lends.live/brigh1026</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

11 Nov 2017, @ 2030 Your New loan of \$5,000 is ready! Tap Here: <a href="https://lends.live/1104sdf">https://lends.live/1104sdf</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

08 Nov 2017, @ 1538 Get up to \$5,000 NOW! Tap Here: <a href="https://lends.live/brigh1108">https://lends.live/brigh1108</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

20 Nov 2017, @ 0950 Your New loan of \$5,000 is ready NOW! Tap Here: <a href="https://lends.live/bette1911">https://lends.live/bette1911</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

27 Nov 2017, @ 1502 Extra \$5,000 cyber Monday only. Get cash for the holidays at <a href="https://lends.live/bet27">https://lends.live/bet27</a> Reply STOP cancel msg& data rates may apply.

05 Dec 2017, @ 0640 New loan of \$5,000 is ready NOW! Tap Here: <a href="https://lends.live/clR123">https://lends.live/clR123</a> use these personal loans for the holiday! Reply STOP cancel msg& data rates may apply.

12 Dec 2017, @ 1435 New holiday Loan of \$5,000 is ready NOW! Tap Here: <a href="https://lends.live/w16212">https://lends.live/w16212</a> use these personal loans for all your needs! Reply STOP cancel msg& data rates may apply.

F

22 Dec 2017, @ 0002 New holiday Loan of \$5,000 is ready! Tap Here: <a href="https://lends.live/wh1221">https://lends.live/wh1221</a> use these personal loans for all your needs! Reply STOP cancel msg& data rates may apply.

30 Dec 2017, @ 1853 Your \$5,000 is ready! Tap Herehttps://lends.live/cl1230 and start the New Year with extra funds today! Reply STOP cancel msg& data rates may apply.09

08 Jan 2018, @ 1137 Your 2018 Loan of \$5,000 is now ready! Tap Here: <a href="https://goo.gl/iWe7UQ">https://goo.gl/iWe7UQ</a> Bad Credit & Mulitple Submits OK! Reply STOP cancel msg& data rates may apply.

09 Jan 2018, @ 0939 Your 2018 Loan of \$5,000 is now ready! Tap Here: <a href="https://lends.live/clr0109">https://lends.live/clr0109</a> Bad Credit & Mulitple Submits OK! Reply STOP cancel msg& data rates may apply.

13 Jan 2018, @ 1306 2018 Loans are now ready! Get up to \$5,000 today! <a href="https://lends.live/wh112">https://lends.live/wh112</a> Bad Credit & Mulitple Submits OK! Reply STOP cancel msg& data rates may apply.

18 Jan 2018, @ 1730 A new loan of up to \$5,000 is now ready! Tap Here: <a href="https://lends.live/cl118">https://lends.live/cl118</a> Bad Credit & Mulitple Submits OK! Reply STOP cancel msg& data rates may apply.

27 Jan 2018, @ 1359 Get cash for bills, vacations or any need! Click <a href="https://lends.live/JC125">https://lends.live/JC125</a> to request funds. Lender approvals in 15min Reply STOP cancel msg& data rates may apply.

02 Feb 2018, @ 1647 Get cash for bills, vacations or any need! Click <a href="https://lends.live/DR427">https://lends.live/DR427</a> to request funds. Lender approvals in 15min Reply STOP cancel msg& data rates may apply.

07 Feb2018, @ 0141 A new loan is now READY in just a few min! Tap Here: <a href="https://lends.live/bR021">https://lends.live/bR021</a> Bad Credit & Mulitple Submits OK! Reply STOP cancel msg& data rates may apply.

08 Feb2018, @ 1149 benita, a new loan is now READY! Tap Here: <a href="https://lends.live/gR28">https://lends.live/gR28</a> Bad Credit & Mulitple Submits OK! Reply STOP cancel msg& data rates may apply.

12 Feb2018, @ 1134 A BRIGHTER LOAN! Need cash? new loan is ready: <a href="https://lends.live/BF212">https://lends.live/BF212</a> Bad Credit & Mulitple Submits OK! Reply STOP cancel msg& data rates may apply.



16 Feb2018, @ 1325 A new loan is READY HERE: <a href="https://lends.live/w214">https://lends.live/w214</a> Bad Credit & Mulitple Submits OK! Reply STOP cancel msg& data rates may apply.

03 Mar 2018, @ 1549 benita! A Better Loan now available Here: <a href="https://lends.live/bT32">https://lends.live/bT32</a> Bad Credit & Mulitple Submits OK! Reply STOP cance msg& data rates may apply.

# EXHIBIT F

BRING YOUR OWN PHONEII See Associate for details!!!

RECEIPT VOID WITHOUT QPAY ID

Location | COMMUNICATIONS 71013641 2041 Mount Zion Road Morrow, GA - 30260 770-210-5522 Merchant ID 71013641 Operator ID 71013641

MetroPCS Receipt : MetroPCS Conf. Code. 290432700 Customer Name: RICKY FRANKLIN Phone 678-235-1290 Account: 341733014 Past Due Balance, \$40.00 Current Balance \$40.00 Due Date 24th of each month

Date 11/25/2014 6:33.54 PM EST Qpay ID QPAYWP520473009 Activation Payment \$40.00 Convenience Fee: \$0.00 Total Amount: \$40.00

Thank you RICKY FRANKLIN Your due date is the 24th of each month See www.metroPCS.com/terms for Terms and Conditions of Service

ITC Financial Licenses, Inc. 1200 Brookstone Centre Parkway Suite 220 Columbus GA - 31904 800-698-2438

Thank you for choosing Qpayl 

Thank you for choosing 1 Communications and MetroPCSI

WORLDWIDE COMPRINICATION 2041 W ZION RO WORROW, GA. 30260

0075420898018194574091 TERMINAL I.D.: 8018194574 MERCHAHT #: "

VISA \*\*\*\*\*\*\*\*\*\*\*\*\*5598 RECORD W: 14
DATE: HOU 25, 14
BATCH: 519 IHU: AUTH:

000015 TIME: 19:28 311159

\$9.99

\$79.43 TOTAL CARD BALANCE:

RICKY FRANKLIH

I AGREE TO PAY ABOUE TOTAL AMOUNT ACCORDING TO CARD ISSUER AGREEMENT (MERCHANT AGREEMENT IF CREDIT VOUCHER)

CUSTONER COPY

## Transaction

Zionwireless 2041 Mt Zion Rd

Morrow, GA 30260 770)210-5522

Transaction Date Cashier

Register#

28

# TR-86684 11/25/2014 6 28 24 P yuri 2

Description 我也不知识我们是怎么我们是我们 化复数形式 化聚合物

6102146376 Metro Samsung GALAXY Light SenalNumber1 354796060726630

@ 5149 00 Discount Phone Discount. Phone @ (\$120 00)

\$40Metro Plan S40Metro Plan

@ 540 00

Subtota · Ta Tota Amount Du Credit Ca Chang

s, wie wie waar 4

You Saved \$ 120.00

PRIO POST

U.S. POSTAGE
\$9.85
\$9.85
\$9.85
\$7.74
\$9.85
\$7.74
\$9.85
\$7.74
\$9.85
\$7.74
\$9.85
\$7.74
\$9.85
\$7.74
\$9.85
\$7.74
\$9.85
\$7.74
\$9.80
\$3.03.04
\$0.04
\$9.00
\$3.03.04
\$0.04
\$9.00
\$3.03.04
\$0.04
\$9.00
\$3.03.04
\$0.04
\$9.00
\$3.03.04
\$0.04
\$9.00
\$3.03.04
\$0.04
\$9.00
\$3.03.04
\$0.04
\$9.00
\$3.03.04
\$9.00
\$3.03.04
\$9.00
\$3.03.04
\$9.00
\$3.03.04
\$9.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3.00
\$3





FROM:

FROM:

Ricky Franklin 708 Brambling Way Stockbridge, GA 30281

TO: US. ASHAY Click'S OFFICE

501 West fieth SCHEENED BY 1550

Sutc 1100 JUN 07 2018

Austin, Texasimon 7 8701

Label 228, March 2016

FOR DOMESTIC AND INTERNATIONAL USE

EP14 July 2013 OD: 11.625 x 15.125 VISIT US AT USPS.COM® ORDER FREE SUPPLIES ONLINE

